Case 1:19-cv-12002-RMB-AMD Document 64-9 Filed 10/17/20 Page 1 of 10 PageID: 770 EXHIBIT D--Dixon Motion (Capps v. Millville, et als.)

SUPERIOR COURT OF NEW JERSEY CRIMINAL DIVISION CUMBERLAND COUNTY INDICTMENT NO. 19-11-1113 S/B STATE OF NEW JERSEY, TRANSCRIPT Plaintiff, V. OF JOSEPH S. DIXON, Defendant.) PLEA Place: Cumberland County Courthouse 60 West Broad Street Bridgeton, NJ 08302 Date: November 20, 2019 **BEFORE:** THE HONORABLE ROBERT G. MALESTEIN, J.S.C. TRANSCRIPT ORDERED BY: THOMAS B. REYNOLDS, ESQ. Reynolds & Horn, PC **APPEARANCES:** BRIAN UZDAVINIS, ASSISTANT PROSECUTOR Attorney on behalf of the State of New Jersey ANDREW M. BUTCHKO, ESQ. Helmer, Conley & Kasselman, P.A. Attorney on behalf of the Defendant

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Audio Recorded

Audio Operator, Ana Garcia

Case 1:19-cv-12<u>002-RMB-AMD</u> Document 64-9 Filed 10/17/20 Page 2 of 10 PageID: 771 EXHIBIT D--Dixon Motion (Capps v. Millville, et als.) INDEX WITNESSES: FOR THE STATE DIRECT CROSS REDIRECT RECROSS (No Witnesses Sworn) FOR THE DEFENDANT Joseph Dixon IDENTIFICATION **EVIDENCE** EXHIBITS (No Exhibits) COURT DECISION - 12

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(Whereupon Proceeding Commenced at 3:40:13 p.m.)
THE COURT: What's the defendant's name again?
MR. BUTCHKO: Joseph Dixon.

THE COURT: State v. Joseph Dixon. We're on the record and it is under PROMIS/Gavel 19, double zero, 1626. Counsel, note your appearances.

MR. UZDAVINIS: Good afternoon, Your Honor. Deputy Attorney General Brian Uzdavinis appearing on behalf of the State.

MR. BUTCHKO: Good afternoon, Your Honor. May it please the Court, Andrew Butchko of the law firm Helmer, Conley, and Kasselman appearing on behalf of Joseph Dixon.

THE COURT: Okay. Counsel, go ahead. I'll hear from you.

MR. BUTCHKO: Thank you, Judge. Judge, my client is here today to enter guilty pleas to an accusation, a two count accusation, 19-11-1113 A/B. He's going to enter a guilty plea to counts one and two of that accusation charging him with aggravated assault in the third degree.

In exchange for his guilty plea there are no dismissals, but the State is prepared to recommend a sentence of probation conditioned upon 364 days in county jail. The defendant as part of the plea is

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agreeing to a lifetime forfeiture of public office employment and then I want to note that the Defense at the time of sentencing intends to move for a civil reservation upon notice to the State and the Plaintiff returnable on the day of sentencing, those are the terms of the plea agreement, Judge.

THE COURT: Does the State have anything to add to that?

MR. UZDAVINIS: No, that's essentially correct, Your Honor. The plea forms, that are all completed for you, attached to that is a copy of our signed written negotiated plea agreement that spells out these terms. I also have for you a copy of a consent order signed ordering a lifetime ban and forfeiture of public office and employment.

This is a negotiated plea agreement where in exchange for defendant's waiver of indictment and plea of guilty to an accusation charging two counts of third degree aggravated assault and entering that consent order, the State in exchange has agreed to recommend a sentence of probation conditioned on 364 days in county jail. And we're aware as well, the pending motion for the civil reservation.

THE COURT: Terrific. Did you guys actually -- do you have an actual accusation prepared?

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MR. BUTCHKO: I'm gonna hand it up to --THE COURT: You can hand it -- all of it up to me and we'll go through it now then. Okay. Sir, can you raise your right hand please? JOSEPH D I X O N, DEFENDANT, SWORN.

THE COURT: Okay. You can put your hand down What I need to do with you right now is I'm going to review with you what your rights are and then I'm going to review with you the terms of the plea agreement itself.

First and foremost, we're going to talk about your right to an indictment by a Grand Jury. You have a constitutional right to have the State present this to the Grand Jury for their consideration as to whether or not there is probable cause that these crimes were committed and that you were in fact the one who committed the crimes.

You're going to waive that right to a Grand Jury proceeding today, but as you know the defendants don't have a right to be present at the Grand Jury and your attorney doesn't have a right to be there either. It's just the State presenting witnesses, but you're gonna nevertheless waive that constitutional right Do you understand that?

THE DEFENDANT: Yes.

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THE COURT: Do you understand that whether it's an accusation or whether it is an indictment, you still would have retained the right to have a jury trial? At that jury trial the State would have been required to prove their case against you beyond a reasonable doubt. Your attorney would have had an opportunity to cross-examine the State's witnesses, would have had an opportunity to bring in witnesses on your behalf if you so desired.

At the time of trial if you wanted to, you could have testified or if you wanted to remain silent at trial as is your right, you could have done that as well. Do you understand that?

THE DEFENDANT: Yes.

THE COURT: Do you understand that you're pleading guilty to two third degree aggravated assaults, both count one and two of Accusation 19-11-113?

THE DEFENDANT: Yes.

THE COURT: Do you understand that third degree crimes carry with it up to five years in State Prison and a fine of up to \$15,000.00? You're not getting that, but that is the maximum, do you understand that?

THE DEFENDANT: Yeah.

THE COURT: Do you understand that in exchange

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for your plea of guilty to this charge within the indictment, here's what's going to happen at the time of sentencing, the State is going to recommend a sentence. That sentence is going to be 364 days in the Cumberland County Jail and a term of probation, which will be in the Court's discretion which can be anywhere between one and five years. The attorneys will make their arguments depending — your attorney will argue for less, your — the State will argue for whatever it is that they deem to be appropriate. Do you understand that?

THE DEFENDANT: Yes.

THE COURT: There will be certain mandatory costs that you're going to have to cover as a result of this plea. There will be two \$50.00 VCCB penalties, two \$75.00 Safe Street Assessments, one \$30.00 law enforcement penalty. There will be a \$15.00 per month probation supervision fee. Do you understand that?

THE DEFENDANT: Yes.

THE COURT: Another part of the -- the plea is that you are going to be forfeiting any and all future public employment and, unfortunately, that probably means any pension rights at this point are going to be gone as well; right?

THE DEFENDANT: Yes.

THE COURT: So you understand all that and you

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Case 1:19-cv-12002-RMB-AMD Document (6419) செழ்ச் 10/17/20 Page 5 of 10 Pagel®: 774 EXHIBIT D--Dixon Motion (Capps v. Millville, et als.) 1 don't have a problem with that? 2 THE DEFENDANT: No. 3 THE COURT: You had an opportunity to go 4 through all of these plea papers with your attorney and 5 you put your initials on the bottom of each page and 6 signed the very last page; correct? 7 THE DEFENDANT: Yes. 8 THE COURT: And you also, in fact, even 9 executed an order on the waiver of the indictment today 10 and you executed the order of forfeiture for employment 11 that you know is going to be effective as well; right? 12 THE DEFENDANT: Yes. 13 THE COURT: Do you have any questions about 14 the plea, what your rights are or what you can expect by 15 way of a sentence? 16 THE DEFENDANT: I do not. 17 THE COURT: You're satisfied with the services 18 of your attorney? 19 THE DEFENDANT: Yes. 2.0 THE COURT: Are you under the influence of any 21 drugs, medication or alcohol at this time that would 22 impair, influence or affect your ability to make a 23 decision today? 24 THE DEFENDANT: No.

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A.C.; correct?

Yes.

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THE COURT: And you don't have any questions

J. Dixon - Direct - Mr. Butchko 1 for him or me regarding the plea or the rights; correct? 2 THE DEFENDANT: I do not. 3 THE COURT: And you are a citizen of the 4 United States? 5 THE DEFENDANT: Yes. 6 THE COURT: You can read and write English? 7 THE DEFENDANT: Yes. 8 THE COURT: Counsel, why don't you go ahead 9 and a get a factual basis for the two different counts 10 if you could. 11 MR. BUTCHKO: Thank you, Your Honor. 12 DIRECT EXAMINATION BY MR. BUTCHKO: 13 Joseph, um, were you in the City of Millville on February 25th, 2018? 14 15 Yes. Α. 16 Q. And you were a police officer; correct? 17 Yes. Α. 18 You worked as a police officer that day? Q. 19 Yes. Α. 2.0 Ο. And you were in uniform? 21 Α. Yes. 22 Now, on that date you had occasion to make an

arrest of an individual, a female, with the initials

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- Q. And during that arrest did you agree that you recklessly caused significant bodily injury to her? A. Yes.
- Q. In fact, she suffered broken ribs in the course of that arrest; correct?

A. Yes.

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Q. And would you agree that you were -- you used excessive force during the course of that arrest? In other words, you could have used less force than you used in order to accomplish the arrest; correct?

A. Yes.

MR. BUTCHKO: I believe that's sufficient, Judge.

THE COURT: It is.

MR. BUTCHKO: As to Count One.

THE COURT: Counsel.

MR. UZDAVINIS: I can address both counts

together, Your Honor. (Inaudible).

THE COURT: Okay. Go ahead then. Go to the second count.

BY MR. BUTCHKO:

Q. Now, you were also in the City of Millville on March $24^{\rm th}$, 2018; correct?

A. Yes.

Q. And again, you were working as a police

J. Dixon - Direct - Mr. Butchko

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officer in uniform?

A. Yes.

Q. And on that occasion you also had occasion to make an arrest this time of a female with the initials T.J.; correct?

A. Yes.

Q. And would you agree that in the course of that arrest that you recklessly caused significant bodily injury to her?

A. Yes.

Q. And during that arrest you took her to the ground; correct?

A. Yes.

Q. And you used pepper spray on her?

A. Yes.

Q. And you later learned that she had harmed her wrist -- her hip when she was taken to the ground; correct?

A. Yes.

Q. And would you agree that you used more force during the arrest than was necessary under the circumstances?

A. Yes.

MR. BUTCHKO: I believe that's sufficient, Judge.

THE COURT: Go ahead, Counsel. CROSS-EXAMINATION BY MR. UZDAVINIS:

- Q. Just to be clear with respect to both counts one and counts -- I'm sorry, counts one and count two. In acting recklessly with regard to both counts, you did so under circumstances manifesting extreme indifference to the value of human life as stated in the accusation you're pleading guilty to?

 A. Yes.
- Q. And you -- uh, you also acknowledged by pleading guilty to this accusation with respect, once again, to both counts one and two involving victims identified as initials A.C. and T.J. respectively that in effectuating those arrests you did indeed use excessive force, meaning specifically force that was in excess of that which would have been reasonably necessary to effectuate that -- those arrests?

 A. Yes.

MR. UZDAVINIS: Okay. That's all, Your Honor. THE COURT: Any follow-up, Counsel?
MR. BUTCHKO: No, Your Honor. Thank you.
THE COURT: No. Okay. I'm satisfied based on the representation of Counsel, my review of the plea agreement, my colloquy with the defendant, his answers in my questions, his answers to the attorneys plural

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questions, that he has knowingly, voluntarily, intelligently waived his right to a Grand Jury presentation and his right to a trial.

He understands the maximum penalties associated with the plea of guilty to counts one and two of Accusation 19-11-113 charging him with aggravated assault a viol - third degree offenses in violation of 2C:12-1(b)(7). And he understands what the plea calls for by way of a sentencing recommendation.

There is now a factual basis that would support the entry of the plea to both counts one and two of the accusation in terms of the reckless indifference standard because that particular part of the statute does deal separately with other issues and other requisite mens rea. So I will make that finding.

We will set sentencing -- Ana, what's that date in January that I have? The 6th?

THE COURT CLERK: January -- January 3rd.

THE COURT: Lets -- we can do it -- I know that there's probably going to be -- there's going to be some paperwork because there is going to be an argument made at the time of sentencing -- well, among other arguments, for the civil reservation issue that the Court is going to need to determine at that time. I want to give Counsel enough time. And in our conference

very briefly that we had in the back to discuss the plea, we talked in terms of sentencing date, but I think Counsel indicated that he might have a trial coming up.

So bring it into January, lets talk in terms of dates. So the $3^{\rm rd}$ is the first Friday after the New Year. The $10^{\rm th}$, the $17^{\rm th}$, the $24^{\rm th}$, any of those dates good for you or better than the other?

MR. BUTCHKO: Judge, I -- it's my understanding we won't be at trial on Fridays, so any Friday in January would be good.

THE COURT: What will give you enough time to make sure everything is done? If I make it — how abut I make it the $17^{\rm th}$?

MR. BUTCHKO: That's fine, Judge.
THE COURT: The middle of the month.

MR. BUTCHKO: That's fine.

MR. UZDAVINIS: Could we request a ready hold, Your Honor?

THE COURT: Do you want to do that in the afternoon or do you want to do $\ensuremath{\mathsf{--}}$

MR. UZDAVINIS: Either late morning or early afternoon.

THE COURT: Is 1:30 better time for everybody? MR. BUTCHKO: No, anytime, Judge. THE COURT: Anybody in the back have an

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opinion one way or the other? I presume since they're here today they want to be here for that. So why don't we make it the 17th at 1:30 in the afternoon. Okay.

MR. BUTCHKO: Okay. Thank you very much, Judge.

THE COURT: Sometimes it's just easier in the afternoon and there's less of a crowd and I have a little bit more time in the event that people need to be heard. Okay.

MR. UZDAVINIS: Thank you, Your Honor. Um, could for purposes of the presentence report, I did bring a packet of discovery, I think --

THE COURT: You can probably drop it off --he's -- in fact, he's going to go downstairs right now. I'm sorry. I should have said that out loud. They might need you to come back because it's late in the day. Take the elevator to the first floor. When you get out, go to the left. The last office area on the left before you hit the metal detector is Criminal Case Management. You're gonna go talk to them. A probation officer needs to interview you to prepare what's called a presentence report.

Your attorney will get a copy, I get a copy, and the State will get a copy. Your attorney will in all probability review it with you before -- well,

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either on the -- typically it's on the date that you come in for sentencing, but they upload them sometimes now into eCourts, so your attorney should have access to that before that date, so he might review it with you before then. So they need to interview you for that.

You might need to come back on a different date, depending on what -- whether they have somebody available to interview you now. So, Counsel, you can go drop that off to Criminal Case Management and they'll have an opportunity -- they can have that if they want to for the presentence report and go from there.

MR. UZDAVINIS: Thank you. I should have added as well, Your Honor, that we are set up to have the defendant processed today as well.

THE COURT: Okay. Perfect. So you need to follow-up with that too. So you might need to get --where -- where are you going to do that?

 $$\operatorname{MR.}$ UZDAVINIS: Our detectives coordinated with the sheriff.

THE COURT: Sheriff? MR. UZDAVINIS: Yeah.

THE COURT: So you're going to go down to the second floor first. You have the documents? You're taking him down? Okay. So we have a sheriff's officer -- that's two stops then. You're going to go

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downstairs, they live scan you, process the -- process the warrant or the summons, whatever it is -- summons?

MR. UZDAVINIS: Yeah, it's the complaint summons.

THE COURT: Okay. The summons. I just want to make sure he doesn't get taken into custody.

MR. UZDAVINIS: No.

THE COURT: It's a summons. You're going to be -- you're going to be printed. Then you can go downstairs, stop into to Criminal Case Management, if they can't see you today they're going to give you a date to come back. Okay?

THE DEFENDANT: Okay.

THE COURT: All right. Very good. Good luck to you guys.

MR. BUTCHKO: Thank you, Judge.

THE COURT: We'll see you in January.

(Whereupon Proceeding Concluded at 3:53:56 p.m.)

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Case 1:19-cv-12002-RMB-AMD Document 64-9 Filed 10/17/20 Page 10 of 10 Page 10:779 EXHIBIT D--Dixon Motion (Capps v. Millville, et als.) CERTIFICATION I, SARAH D'AGOSTINO, CTR, the assigned transcriber, do hereby certify the forgoing transcript of proceedings on November 20th, 2019, JAVS, 2019-11-20, Index 3:40:13 to 3:53:56, Cumberland County Superior Court, is prepared in full compliance with the current Transcript Format for Judicial Proceedings and is a true and accurate compressed transcript of the proceedings as recorded. SARAH D'AGOSTINO /S/ SARAH D'AGOSTINO, AOC# 696 THE TYPE-RIGHT-ER DATE: 12/6/19